

**OFFICE OF FINANCIAL REGULATION  
SECURITIES OFFERINGS STATISTICS**

<b>NOTIFICATION REGISTRATION</b>											
	<b>09-10</b>	<b>10-11</b>	<b>11-12</b>	<b>12-13</b>	<b>13-14</b>	<b>14-15</b>	<b>15-16</b>	<b>16-17</b>	<b>17-18</b>	<b>18-19</b>	<b>19-20</b>
Received	98	116	134	110	107	112	106	91	66	53	52
Approved	77	115	108	107	92	103	103	78	58	51	33
Withdrawn	6	18	21	27	9	7	5	6	6	4	8
Denied	0	0	1	1	4	6	1	5	2	0	0
<b>QUALIFICATION REGISTRATION BY MERIT REVIEW</b>											
	<b>09-10</b>	<b>10-11</b>	<b>11-12</b>	<b>12-13</b>	<b>13-14</b>	<b>14-15</b>	<b>15-16</b>	<b>16-17</b>	<b>17-18</b>	<b>18-19</b>	<b>19-20</b>
Received	5	9	12	10	4	3	7	6	11	3	5
Approved	2	2	2	3	2	2	2	3	2	3	2
Withdrawn	1	7	9	7	1	1	3	4	5	0	1
Denied	0	0	0	1	1	1	0	2	1	0	1