

Office of Financial Regulation  
Rules Requiring Economic Review

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**Group 1**

69W-600.0132: Custody Requirements for Investment Advisers

69W-600.015: Financial Reporting Requirements - Statement of Financial Condition - Dealers and Investment Advisers

69W-600.016: Net Capital Requirements for Dealers and Investment Advisers

**Group 2**

69U-120.730: Bank and Trust Company Assessments

69U-140.020: Semi-annual assessments