

## **Investment Adviser Compliance Alert: Annual Financial Statement Filing Requirement**

Pursuant to Rule 69W-600.0161, Florida Administrative Code, investment advisers registered and located in Florida are required to annually file financial statements prepared as of the end of the investment adviser's year end with the Office of Financial Regulation (office) via the office's online portal at: <https://www.flofr.gov/sitePages/WelcomeToOnlineServices.htm>. The financial statements are due within 90 days following the investment adviser's fiscal year end.