



Commissioner Russell C. Weigel, III

Office of Financial Regulation 2021-22 Regulatory Plan

Pursuant to S. 120.74, F.S., the Office of Financial Regulation (OFR) has prepared the following regulatory plan relating to laws passed in the 2021 Regular Legislative Session and other rules that the OFR expects to implement by rulemaking before July 1, 2022.

Rulemaking identified in the 2020-21 plan; however, the agency subsequently determined that rulemaking is not necessary to implement the law:

None. Please note, Rules 69V-40.002 and 69V-40.0331, F.A.C., were amended after the agency prepared its 2020-2021 annual regulatory plan. The amendments allowed for the electronic submission of Form OFR-494-13, Declaration of Intent to Engage Solely in Loan Processing. The amended rule provisions took effect on April 12, 2021.

Laws passed during the previous 12 months which create or modify the OFR's duties or authority:

None.

Other laws which the OFR expects to implement by rulemaking before July 1, 2022:

I. Division of Securities

Sections 517.081, 517.12, 517.1215, 517.1217, 517.161, F.S.

- a. The Division of Securities intends to amend Rule 69W-200.002, F.A.C., to update statutes, rules and regulations incorporated by reference.
- b. The Division of Securities intends to amend Rule 69W-200.0024, F.A.C., to clarify which applicants are deemed to have satisfied the examination requirements under the "grandfathering provisions" of the rule.

II. Division of Financial Institutions

Section 658.73(6), F.S.

- a. The Division of Financial Institutions intends to amend Rule 69U-140.020, F.A.C., to clarify the deadline for payment of assessments, and to amend the yearly date which determines applicability of assessments. The amendment will provide clarity and correct an error.

III. Division of Consumer Finance

None.

IV. Bureau of Financial Investigations

None.

Certification of Office of Financial Regulation
2021-22 Regulatory Plan

I hereby certify, on this 22nd day of September 2021, that I have reviewed the OFR's 2021-22 Regulatory Plan and that the OFR regularly reviews all of its rules. The period during which all rules have most recently been reviewed to determine if the rules remain consistent with the agency's rulemaking authority and the laws implemented was on an ongoing basis.



Russell C. Weigel, III, Commissioner
Office of Financial Regulation

/s/ Anthony Cammarata

Anthony Cammarata, General Counsel
Office of Financial Regulation