

**OFFICE OF FINANCIAL REGULATION
SECURITIES OFFERINGS STATISTICS**

NOTIFICATION REGISTRATION															
	09-10	10-11	11-12	12-13	13-14	14-15	15-16	16-17	17-18	18-19	19-20	20-21	21-22	22-23	23-24
Received	98	116	134	110	107	112	106	91	66	53	52	46	46	51	59
Approved	77	115	108	107	92	103	103	78	58	51	33	36	33	38	53
Withdrawn	6	18	21	27	9	7	5	6	6	4	8	3	3	12	18
Denied	0	0	1	1	4	6	1	5	2	0	0	0	0	0	0
QUALIFICATION REGISTRATION BY MERIT REVIEW															
	09-10	10-11	11-12	12-13	13-14	14-15	15-16	16-17	17-18	18-19	19-20	20-21	21-22	22-23	23-24
Received	5	9	12	10	4	3	7	6	11	3	5	7	4	11	8
Approved	2	2	2	3	2	2	2	3	2	3	2	2	3	4	6
Withdrawn	1	7	9	7	1	1	3	4	5	0	1	1	5	3	12
Denied	0	0	0	1	1	1	0	2	1	0	1	0	0	4	1