

**OFFICE OF FINANCIAL REGULATION
SECURITIES OFFERINGS STATISTICS**

NOTIFICATION REGISTRATION													
	09-10	10-11	11-12	12-13	13-14	14-15	15-16	16-17	17-18	18-19	19-20	20-21	21-22
Received	98	116	134	110	107	112	106	91	66	53	52	46	46
Approved	77	115	108	107	92	103	103	78	58	51	33	36	33
Withdrawn	6	18	21	27	9	7	5	6	6	4	8	3	3
Denied	0	0	1	1	4	6	1	5	2	0	0	0	0
QUALIFICATION REGISTRATION BY MERIT REVIEW													
	09-10	10-11	11-12	12-13	13-14	14-15	15-16	16-17	17-18	18-19	19-20	20-21	21-22
Received	5	9	12	10	4	3	7	6	11	3	5	7	4
Approved	2	2	2	3	2	2	2	3	2	3	2	2	3
Withdrawn	1	7	9	7	1	1	3	4	5	0	1	1	5
Denied	0	0	0	1	1	1	0	2	1	0	1	0	0