

Seeman Holtz Investor Questionnaire

Instructions:

Please fill out this questionnaire electronically or by hand. Please send the completed, signed (electronic or wet ink) questionnaire with documentation related to your Seeman Holtz investments (advertisements, emails, text messages, contracts, proof of payment, etc.) via

Email to:

seeman.holtzcomplaint@flofr.gov

or

Mail to:

Florida Office of Financial Regulation

ATTN: BFI, Investigation: 71510 – Seeman Holtz

1313 N. Tampa Street, Suite 617A

Tampa, FL 33602

Investor Personal Data:

Name: _____ Date of Birth: _____

Home Address: _____

Telephone Number: _____ Email Address: _____

1. I have invested in the following private placement offering(s) (check all that apply):

- | | |
|---------------------------------------------------------------|----------------------------------------------------------|
| <input type="checkbox"/> Emerald Asset 2014, LLC | <input type="checkbox"/> Para Longevity 2014-5, LLC |
| <input type="checkbox"/> Emerald Asset 2015, LLC | <input type="checkbox"/> Para Longevity 2015-3, LLC |
| <input type="checkbox"/> Emerald Asset 2016, LLC | <input type="checkbox"/> Para Longevity 2015-5, LLC |
| <input type="checkbox"/> Emerald Asset 2018, LLC | <input type="checkbox"/> Para Longevity 2016-3, LLC |
| <input type="checkbox"/> Emerald Assets 2019, LLC | <input type="checkbox"/> Para Longevity 2016-5, LLC |
| <input type="checkbox"/> Emerald Assets Holdings, LLC | <input type="checkbox"/> Para Longevity 2018-3, LLC |
| <input type="checkbox"/> Emerald Assets, LLC | <input type="checkbox"/> Para Longevity 2018-5, LLC |
| <input type="checkbox"/> Grace Holdings Financial, LLC | <input type="checkbox"/> Para Longevity 2019-3, LLC |
| <input type="checkbox"/> Integrity Assets 2016, LLC | <input type="checkbox"/> Para Longevity 2019-6, LLC |
| <input type="checkbox"/> Integrity Assets, LLC | <input type="checkbox"/> Para Longevity Investments, LLC |
| <input type="checkbox"/> Integrity Longevity Investments, LLC | <input type="checkbox"/> Paraveda Investments V, LLC |
| <input type="checkbox"/> Para Int 2019, LLC | <input type="checkbox"/> Paraveda Premium Finance, LLC |
| <input type="checkbox"/> Para Global 2019, LLC | <input type="checkbox"/> Prime Short Term Credit, Inc. |
| <input type="checkbox"/> Para Longevity 2012, LLC | <input type="checkbox"/> Seeman Holtz Global, LLC |
| <input type="checkbox"/> Para Longevity 2012-5, LLC | <input type="checkbox"/> SH Global, LLC |
| <input type="checkbox"/> Para Longevity 2014, LLC | <input type="checkbox"/> Other, Fund Name: _____ |

2. The current total value of my investments in the listed private placement offering(s) is approximately \$ _____

3. Since my first investment in the listed private placement offering(s), I have withdrawn approximately \$ _____. Of the amount I have withdrawn, approximately \$ _____ has been reinvested in the listed private placement offering(s).

4. I became aware of the listed private placement offering(s) by:

5. At the time I invested in the listed private placement offering(s), excluding the value of my primary residence, I approximate the combined net worth of myself and my spouse was (if you are not married, only include your own net worth):

- | | |
|------------------------------------------------|-------------------------------------------------|
| <input type="checkbox"/> \$1 - \$500,000 | <input type="checkbox"/> \$800,000 - \$899,999 |
| <input type="checkbox"/> \$500,000 - \$599,999 | <input type="checkbox"/> \$900,000 - \$999,999 |
| <input type="checkbox"/> \$600,000 - \$699,999 | <input type="checkbox"/> \$1,000,000 or greater |
| <input type="checkbox"/> \$700,000 - \$799,999 | |

6. Alone, my earned income exceeded \$200,000 in each of the 2 years prior to investing in the listed private placement offering(s).

- | | |
|------------------------------|-----------------------------|
| <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|------------------------------|-----------------------------|

7. The earned income of myself and my spouse exceeded \$300,000 in each of the 2 years prior to investing in the listed private placement offering(s).

- | | |
|------------------------------|-----------------------------|
| <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|------------------------------|-----------------------------|

8. If you answered "Yes" to Question 6 and/or 7, do you reasonably expect that you alone or you and your spouse will reach the same income level described in Question 6 and/or 7 in the current year?

- | | |
|------------------------------|-----------------------------|
| <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|------------------------------|-----------------------------|

9. My investments in the listed private placement offering(s) currently represents approximately _____ % of my total net worth.

10. The following sales representative(s) offered and/or sold me the listed private placement offering(s) (check all that apply):

- | | | |
|----------------------------------------------|------------------------------------------------|--------------------------------------------|
| <input type="checkbox"/> Jeffrey P. Abramson | <input type="checkbox"/> Scott Genad | <input type="checkbox"/> Dilan K. Patel |
| <input type="checkbox"/> Maurice Allen | <input type="checkbox"/> Brian E. Hand | <input type="checkbox"/> Jeremiah Rauwolf |
| <input type="checkbox"/> Peter R. Beck | <input type="checkbox"/> Sarah Hardwick | <input type="checkbox"/> Brian J. Schwartz |
| <input type="checkbox"/> Ian T. Bossie | <input type="checkbox"/> Eric C. Holtz | <input type="checkbox"/> Marshal S. Seeman |
| <input type="checkbox"/> Richard Lee Burgess | <input type="checkbox"/> Richard L. Huling | <input type="checkbox"/> Marlin Z. Silber |
| <input type="checkbox"/> Darrin Carlomagno | <input type="checkbox"/> Jaci L. Iannello | <input type="checkbox"/> Kim M. Skidmore |
| <input type="checkbox"/> Mayte Carratala | <input type="checkbox"/> Paul A. Kapela | <input type="checkbox"/> Harry J. Steck |
| <input type="checkbox"/> Joseph L. Corozza | <input type="checkbox"/> Edward R. Kayne | <input type="checkbox"/> Jason L. Sussman |
| <input type="checkbox"/> David W. Coyman | <input type="checkbox"/> Daryl A. Kutner | <input type="checkbox"/> Michael S. Taylor |
| <input type="checkbox"/> Daniel Cucuiat | <input type="checkbox"/> Eric Levitt | <input type="checkbox"/> Daniel Tepper |
| <input type="checkbox"/> Shelby R. Deneen | <input type="checkbox"/> Anthony L. Lombardo | <input type="checkbox"/> Thomas Vo |
| <input type="checkbox"/> Antonio Dicembrino | <input type="checkbox"/> Andrea E. Matthews | <input type="checkbox"/> Melody D. Wilder |
| <input type="checkbox"/> Richard B. Donoff | <input type="checkbox"/> Christopher J. Murton | <input type="checkbox"/> Erika Wrobel |
| <input type="checkbox"/> Misty Ducharme | <input type="checkbox"/> Thomas P. Ortzman | <input type="checkbox"/> Other_____ |
| <input type="checkbox"/> Dean J. Emmets | <input type="checkbox"/> Joseph M. Paluzzi | |
| <input type="checkbox"/> Ryan Foley | <input type="checkbox"/> Daniel P. Mahalic | |

11. If you have had a discussion concerning the listed private placement offering(s) with any other sales representative(s), Seeman Holtz employee, or Seeman Holtz representative, please list the name(s) of these individual(s) and the nature of the discussion below.

12. Each time I was offered and/or sold the listed private placement offering(s) Marshal S. Seeman discussed the details of the listed private placement offering(s) with me.

- Yes No

13. Each time I was offered and/or sold the listed private placement offering(s) Eric C. Holtz discussed the details of the private placement offering(s) with me.

- Yes No

14. Each time I was offered and/or sold the listed private placement offering(s) Brian J. Schwartz discussed the details of the private placement offering(s) with me.

- Yes No

15. How did you communicate with the sales representative(s) that offered and/or sold you the listed private placement offering(s) (check all that apply)?

- Phone Email
 Text message In-Person
 Mail

16. Prior to investing in the listed private placement offering(s), I discussed my financial goals with the sales representative(s) that offered and/or sold me the private placement offering(s).

Yes

No

17. Prior to investing in the listed private placement offering(s), the sales representative(s) that offered and/or sold me the private placement offering(s) recommended that I invest in the private placement offering(s) to meet my expressed financial goals.

Yes

No

18. I was provided a private placement memorandum that explained the listed private placement offering(s).

Yes

No

19. I received a private placement memorandum prior to investing in the listed private placement offering(s).

Yes

No

NA – I did not receive the private placement memorandum(s)

20. I received a private placement memorandum after investing in the listed private placement offering(s).

Yes

No

NA – I did not receive the private placement memorandum(s)

21. I read the private placement memorandum(s).

- Yes
- No
- NA – I did not receive the private placement memorandum(s)

22. I had a full understanding of the information in the private placement memorandum(s) at the time I made each investment.

- Yes
- No
- NA – I did not receive the private placement memorandum(s)
- Other

23. I was given the opportunity to ask questions about the private placement offering(s).

- Yes
- No

24. All my questions about the private placement offering(s) were answered.

- Yes
- No
- NA – I never asked any questions about the private placement offering(s)

25. The sales representative(s) that offered and/or sold me the private placement offering(s) told me the private placement offering(s) would make money or profit.

- Yes
- No
- NA – This topic was not discussed.

26. If you answered “Yes” to Question 25, the sales representative(s) that offered and/or sold me the private placement offering(s) told me the private placement offering(s) would make money or profit from the following activities:

27. The sales representative(s) that offered and/or sold me the private placement offering(s) told me my principal would be paid by (check all that apply):

- Profit from the private placement offering’s operations
- Loans from other affiliated private placement offering(s)
- Loans from other affiliated companies
- My own funds
- Other investors’ funds
- NA – This topic was not discussed.
- Other

28. The sales representative(s) that offered and/or sold me the private placement offering(s) told me my interest would be paid by (check all that apply):

- Profit from the private placement offering's operations
- Loans from other affiliated private placement offering(s)
- Loans from other affiliated companies
- My own funds
- Other investors' funds
- NA – This topic was not discussed.
- Other

29. Regarding risk, the sales representative(s) that offered and/or sold me the private placement offering(s) told me the private placement offering(s) were:

- Low Risk
- Moderate Risk
- High Risk
- NA – This topic was not discussed.
- Other

30. Regarding the financial health and/or performance of the private placement offering companies, the sales representative(s) that offered and/or sold me the private placement offering(s) told me the private placement offering companies and/or their affiliates were:

- Excellent
- Average
- Poor
- NA – This topic was not discussed.
- Other

31. Regarding the financial health and/or performance private placement offering(s)' affiliates, the sales representative(s) that offered and/or sold me the private placement offering(s) told me the private placement offering(s)' affiliates were:

- Excellent
- Average
- Poor
- NA – This topic was not discussed.
- Other

32. If you have discussed the status of the Florida Office of Financial Regulation (OFR) investigation of Seeman Holtz with a representative of Seeman Holtz, please include details of this conversation, to include: the name(s) of the Seeman Holtz representative(s), date of the conversation(s), how the investigation was described, etc.

33. The last contact I have had with a sales representative(s) was on _____. This individual's name is _____. During this meeting, conversation, and/or correspondence, we discussed:

SIGNATURE BLOCK A – Please use this signature block for electronic signatures only.

Pursuant to Section 92.525, Florida Statutes, under penalties of perjury, I declare that I have read the foregoing Seeman Holtz Investor Questionnaire and that the facts stated in it are true and correct and that I have personal knowledge of the facts stated herein. By typing my name in the signature field below, I hereby affirm and manifest my intent to authenticate this writing as authorized by the Electronic Signature Act of 1996, Florida Statutes, Chapter 668, Part I.

Electronic Signature: /s/ _____ **Date:** _____

Printed Name: _____

SIGNATURE BLOCK B – Please use this signature block for wet ink signatures only.

Pursuant to Section 92.525, Florida Statutes, under penalties of perjury, I declare that I have read the foregoing Seeman Holtz Investor Questionnaire and that the facts stated in it are true and correct and that I have personal knowledge of the facts stated herein.

Wet Ink Signature: _____ **Date:** _____

Printed Name: _____