

**STATE OF FLORIDA
OFFICE OF FINANCIAL REGULATION**

APPLICATION FOR PAYMENT FROM THE SECURITIES GUARANTY FUND

NOTE: Only complete this application if the eligible person's final judgment or restitution order was entered on or after October 1, 2024.

PART I. ELIGIBLE PERSON INFORMATION

1. Name: _____

2. Eligible Person Current Contact Information:

_____ Street Address _____ City State Zip	Phone Number: _____ Email Address: _____ Type of Organization: _____ Place of Organization (state): _____
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3. Eligible Person Address at time of violation of s. 517.07 or 517.301, F.S., by wrongdoer

☐ Check if same as above.

Address: _____

PART II. PERSON TO BE CONTACTED REGARDING APPLICATION

☐ Check if receiver appointed pursuant to s. 517.191(2), F.S., for a wrongdoer ordered to pay restitution under s. 517.191(3), F.S., as a result of a violation of ss. 517.07 or 517.301, F.S.

☐ Check if same as eligible person above.

1. Name: _____

2. Contact Information:

_____ Street Address _____ City State Zip	Phone Number: _____ Email Address: _____
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PART III. FINAL JUDGMENT OR RESTITUTION ORDER INFORMATION

Name of Wrongdoer: _____

Date Final Judgment or Restitution Order entered: _____

Amount of Unsatisfied Portion of Final Judgment or Restitution Order: \$ _____

☐ Check if an appeal has been filed.

PART IV. AFFIDAVIT (Complete the applicable affidavit below)

I _____ (eligible person) have made all reasonable searches and inquiries to ascertain whether the judgment debtor or person ordered to pay restitution possesses real or personal property or other assets subject to being sold or applied in satisfaction of the final judgment or restitution order and, by my search, that the eligible person has not discovered any property or assets.

I _____ (eligible person) have taken necessary action on the property and assets of the wrongdoers but the final judgment or restitution order remains unsatisfied.

I _____ (receiver) am a receiver appointed pursuant to s. 517.191(2), F.S., by a court of competent jurisdiction for a wrongdoer ordered to pay restitution under s. 517.191(3), F.S., in the amount of \$_____ as a result of a violation of s. 517.07 or s. 517.301 and have requested payment from the Securities Guaranty Fund on behalf of _____ (eligible person) for payment under s. 517.131(3)(a), F.S. I have paid \$_____(total of money, property, or assets paid) to _____ (eligible person) but the restitution order remains unsatisfied. The unsatisfied portion of the restitution order is \$_____.

Pursuant to s. 92.525, Florida Statutes, under penalties of perjury, I declare that I have read the items and instructions on this application and documents provided herewith and that the facts stated in it are true to the best of my knowledge and belief. I understand that if I knowingly and willfully file or cause to be filed an application under s. 517.131, F.S., or documents supporting such application, any of which contain false, incomplete, or misleading information in any material aspect, I forfeit all payments from the Securities Guaranty Fund and commit a violation of s. 517.301(1)(c), F.S., and may be subject to administrative, civil, or criminal penalties. By typing my name in the signature field below, I hereby manifest my intent to authenticate this writing as authorized by the Electronic Signature Act of 1996, Florida Statutes, Chapter 668, Part 1.

Date: _____

Signature of Eligible Person or Receiver

Printed Name