OFR 2023 - 192 PROC (SEC)



Commissioner Russell C. Weigel, III

PROCLAMATION FOR SECURITIES INDUSTRY (Amended to Include Executive Order 23-172)

I, Russell C. Weigel, III, as Commissioner of the Office of Financial Regulation, by the power vested in me through Section 252.62(2)(a)1, Florida Statutes, do hereby acknowledge Office of the Governor, Executive Order Numbers 23-171 and 23-172, which describe emergency conditions that may be caused by Invest 93L, currently known as Tropical Storm Idalia, and, therefore, authorize suspension of the notice-filing requirements for branch office locations of dealers, issuer/dealers, and investment advisers in the following counties: Alachua, Baker, Bay, Bradford, Calhoun, Charlotte, Citrus, Clay, Collier, Columbia, DeSoto, Dixie, Duval, Flagler, Franklin, Gadsden, Gilchrist, Gulf, Hamilton, Hardee, Hernando, Hillsborough, Jefferson, Lafayette, Lake, Lee, Leon, Levy, Liberty, Madison, Manatee, Marion, Nassau, Pasco, Pinellas, Polk, Putnam, Sarasota, Seminole, St. Johns, Sumter, Suwannee, Taylor, Union, Volusia, and Wakulla. Should Invest 93L impact the dealer's, issuer/dealer's, or investment adviser's operations such that the dealer, issuer/dealer, or investment adviser plans to relocate displaced personnel to a temporary location that is not currently notice-filed as a branch office or identified as a regular non-branch location, the dealer, issuer/dealer, or investment adviser should use its best efforts to provide written notification to the OFR, Division of Securities, as soon as possible, but not later than five days, after establishing a new temporary office or space-sharing arrangement due to this emergency. The notice should include the following information:

- the office address,
- the entities involved,
- the names of registered personnel, and
- a contact telephone number.

Dated this **2**² day of August, 2023.



Russell C. Weigel, III Commissioner

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