

Notice of Meeting/Workshop Hearing

DEPARTMENT OF FINANCIAL SERVICES

Securities

RULE NOS.:	RULE TITLES:
69W-600.001	Application for Registration as a Dealer, Issuer/Dealer, or Investment Adviser
69W-600.0012	Application for Registration as a Dealer (non-FINRA)
69W-600.0013	Application for Registration as an Issuer/Dealer
69W-600.0015	Canadian Dealer Notification
69W-600.0016	Application for Registration as an Investment Adviser (State Registered)
69W-600.0017	Notice-Filing for Federal Covered Advisers
69W-600.002	Application for Registration as Associated Person
69W-600.0022	Application for Registration as an Associated Person (Non-FINRA Dealer)
69W-600.0023	Application for Registration as an Associated Person (Issuer/Dealer)
69W-600.0024	Application for Registration as an Associated Person (Investment Adviser)
69W-600.003	Multiple Registration
69W-600.0031	Notice-Filing of Branch Office (FINRA Dealer)
69W-600.0032	Notice-Filing of Branch Office (Non-FINRA Dealer)
69W-600.0033	Notice Filing of Branch Office (Issuer/Dealer)
69W-600.0034	Notice-Filing of Branch Office (Investment Adviser)
69W-600.004	Registration of Issuer/Dealers and Principals and Notice-Filing of Branch Offices
69W-600.005	Examinations/Qualifications
69W-600.006	Fingerprint Requirements
69W-600.007	Changes in Name and Successor Registration Requirements
69W-600.008	Termination of Registration as Dealer, Investment Adviser, Principal or Agent, or Notification of Branch Office
69W-600.009	Registration and Notice-Filing Renewals
69W-600.0091	Central Registration Depository System
69W-600.0092	Investment Adviser Registration Depository for Federal Covered Advisers
69W-600.0093	Investment Adviser Registration Depository for Investment Advisers
69W-600.010	Notice of Civil, Criminal or Administrative Action

The Office of Financial Regulation announces a hearing to which all persons are invited.

DATE AND TIME: August 17, 2015, 10:00 a.m.

PLACE: Office of Financial Regulation, Room B103, 200 East Gaines St., Fletcher Building, Tallahassee, Florida 32399

GENERAL SUBJECT MATTER TO BE CONSIDERED: The Office will hold a public hearing to discuss changes to its proposed rules relating to registration/notice-filing of entities regulated under Chapter 517, F.S., published in the FAR on July 14, 2015.

A copy of the agenda may be obtained by contacting: The agenda will be available at <http://www.flofr.com/StaticPages/NoticesOfPublicMeetingsHearingsAndWorkshops.htm>.

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