

69U-162.009 Application Process and Forms.

(1) Application forms for all license, registration, renewal, reports, and notice-filing actions covered by these rules are available on the OFR's website at www.flofr.com or through the links provided in these rules. Forms may also be obtained at no cost by request to the Office of Financial Regulation, Division of Financial Institutions, 200 East Gaines Street, Tallahassee, Florida 32399-0371.

(2) Completed forms shall be submitted for filing to the Office of Financial Regulation, Division of Financial Institutions, 200 East Gaines Street, Tallahassee, Florida 32399-0371.

(3) Request for Additional Information. All information the applicant desires to present to support the application should be submitted with the original filing. The required exhibits in the application forms are not intended to limit the applicant's presentation of any of the requirements, but merely represent the minimum information to be filed. Additional information shall be submitted within 60 days after a request has been made by the Office. Any such request for additional information will be made by the Office within 30 days after receipt of the application and the full amount of the prescribed fee for the registration sought. Failure to respond to such request within 60 days after the date of the request may be construed by the Office as grounds for denial of an application in accordance with the provisions of sections 120.60(1) and 120.80(3), F.S. No application shall be considered to be complete until all requested information has been submitted to the Office.

(4) Burden of Proof. It is the applicant's responsibility to prove that the statutory and regulatory requirements warranting granting of the authority requested by the applicant are met.

(5) Withdrawal of Application. The applicant may request withdrawal of an application at any time by filing a written notice of withdrawal signed by the applicant's authorized representative.

(6) The following form is incorporated by reference and adopted by this rule for the purposes of rules 69U-162.003 and 69U-162.010, F.A.C.: Form OFR-162-Bio, "Biographical Report," effective 11/2015, available at <https://www.flrules.org/Gateway/reference.asp?No=Ref-06060>. The form contains a field that requires a proposed director, officer, manager, or member acting in a managerial capacity for a licensed family trust company to provide his or her social security number. The individual's social security number will be used by the Office to facilitate the identification of the individual to obtain verification of the required criminal justice information and licensing background, which may expedite the processing of the form. Social security numbers obtained through the submission of the form are subject to the confidentiality provisions of section 119.071(5), F.S.

Rulemaking Authority 120.60(1), 662.140 FS. Law Implemented 119.071(5), 120.60(1), 120.80(3)(a), 662.121, 662.1215, 662.122, 662.124, 662.125(2), (3), (4), 662.126 FS. History—New 11-19-15.